



Media Release

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SIX Swiss Exchange selects UnaVista as partner for transaction reporting for remote participants

SIX Swiss Exchange is to partner with London Stock Exchange Group to establish a link to their UnaVista service to facilitate transaction reporting for products admitted to trading on SIX Swiss Exchange for remote participants. The service from UnaVista will allow remote participants of SIX Swiss Exchange to fulfil their reporting obligations under the Swiss Financial Market Infrastructure Act (FMIA).

The FMIA enhanced the reporting obligations for securities dealers which required a revised service solution to be developed. The data UnaVista will provide to the Reporting Office of SIX Swiss Exchange will enable remote members to fulfil their reporting obligations in securities admitted to trading on SIX Swiss Exchange. Furthermore it minimizes the need to duplicate reporting across jurisdictions and, by using consistent data formats, creates process efficiencies.

SIX Swiss Exchange remote participants will benefit from UnaVista's well-established and proven regulatory reporting technology. The flexible platform has been constantly evolving to address new obligations in various financial markets, and has won many awards in the process, including the best Regulatory Reporting Platform at the Operational Risk Awards in 2016. The partnership will ensure that SIX Swiss Exchange remote participants will be able to maximize the economies of scale of using UnaVista to fulfil their transaction reporting obligations.

Chris Landis, Division CEO SIX Swiss Exchange: «We are delighted to be partnering with London Stock Exchange Group to offer this service to our customers. The UnaVista platform enjoys an excellent reputation and offers our clients access to a proven and efficient mechanism to meet regulatory obligations. This reflects our ambition to continuously seek beneficial solutions which enable our clients to optimize business opportunities in a cost-efficient and reliable way.»

Mark Husler, CEO UnaVista, London Stock Exchange Group: «This is an exciting opportunity to collaborate with SIX Swiss Exchange to help create efficiencies for firms with global reporting obligations. It is a testament to how UnaVista's flexible platform helps futureproof firms from new regulations and changes to existing regulations.»



Swiss Exchange

Should you have any questions, please feel free to contact Stephan Meier, Head Media Relations.

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SIX Swiss Exchange

SIX Swiss Exchange is one of the leading exchanges in Europe. It connects companies from around the world with international investors and trading participants. It creates particularly market-oriented framework conditions for listing and trading in its highly liquid segments. SIX Swiss Exchange multiplies the locational advantages of the Swiss financial marketplace with first-rate services and is an ideal listing location. It distributes its own range of indices, including Switzerland's most important blue-chip index SMI®. With the world's most advanced trading technology X-stream INET it offers excellent trading conditions.

www.six-swiss-exchange.com

SIX

SIX operates the infrastructure underpinning the Swiss financial sector and offers a comprehensive range of services around the world in the fields of securities trading and settlement, financial information and payment transactions. The company is owned by its users (approximately 130 banks of various orientation and size). Its workforce of over 4,000 employees and presence in 25 countries throughout the world generated operating income of CHF 1.8 billion and a Group net profit of CHF 221.1 million in 2016.

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UnaVista, London Stock Exchange Group

UnaVista is London Stock Exchange Group's global platform for reference data, reconciliation and regulatory reporting. UnaVista provides firms with a range of solutions designed to reduce operational and regulatory risk through one interface.

UnaVista assists thousands of firms report in excess of 5 billion reports a year for multiple global regulations.

The platform is an approved reporting mechanism for MiFID (and soon MiFID II), a regulated trade repository for EMIR, and a routing engine for multiple other G20 derivative trade reporting regimes and buy-side regulations.

UnaVista helps firms bring together vast amounts of disparate data sources and provides an easy to use interface and 24/5 support.

UnaVista will also be assisting firms with upcoming regulations such as SFTR, Consolidated Audit Trail (CAT) and providing surveillance alerts.

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