



Media Release

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Investigation against Banque Cantonale de Genève

SIX Exchange Regulation opens an investigation against Banque Cantonale de Genève on account of a possible breach of regular reporting obligations.

SIX Exchange Regulation, which monitors and enforces issuer obligations on behalf of SIX Swiss Exchange Ltd, opened an investigation against Banque Cantonale de Genève on 4 October 2017 on account of a possible breach of regular reporting obligations.

The investigation was opened in connection with incorrect dividend reports and a late report regarding taking office of the newly appointed Chairman of the Board of Directors by Banque Cantonale de Genève in April 2017.

Investigatory proceedings will continue for an indefinite period. SIX Exchange Regulation will announce the findings. No information will be provided while the investigatory proceedings are ongoing.

Should you have any questions, please feel free to contact Stephan Meier, Head Media Relations.

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SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

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SIX

SIX operates the infrastructure underpinning the Swiss financial sector and offers a comprehensive range of services around the world in the fields of securities trading and settlement, financial information and payment transactions. The company is owned by its users (approximately 130 banks of various orientation and size). Its workforce of over 4,000 employees and presence in 25 countries throughout the world generated operating income of CHF 1.8 billion and a Group net profit of CHF 221.1 million in 2016.

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